

**Audit Announcement (Form 3)**

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

**1. General, client/CAB information**

1.1 Document type	Audit announcement
1.2 Document language	English
1.3 Second document language	Chinese
1.4 Unit of certification type	Single Site
1.4.1 Company name	茂名新洲水产有限公司
1.4.2 UoC Name	Maoxing Xinzhou Seafood Co., Ltd.
1.5 Country where UoC is located	广东冠利达海洋生物有限公司
1.6 ASC Standard	Guangdong Guanli Marine Biotechnology Co., Ltd
1.7 Standard version	China
1.8 Certification process is subject to CAR version	SIRIMP
1.9 Name of the Conformity assessment body (CAB)	1.1
	2.2
	Intertek Testing Services Ltd.
<b>Client contact person - from the UoC</b>	
1.15 First name	Zhaolong
1.16 Surname	Yes
1.17 Position in the UoC (Job title)	GM
1.18 Email address	2215600713@qq.com
1.19 Phone number	0086-6885280229
1.20 Other means of contact e.g. Skype	None

**2. Audit information**

2.1 ASC standard principles covered by the audit	ASC standard principles	
2.1.1 Principle 1	Covered	
2.1.2 Principle 2	Covered	
2.1.3 Principle 3	Covered	
2.1.4 Principle 4	Covered	
2.1.5 Principle 5	Covered	
2.1.6 Principle 6	Covered	
2.1.7 Principle 7	Covered	
2.1.8 Principle 8	Not Covered	
2.2 Activities covered under the scope of the certification and under the scope of the audit. Activities in the table apply to final product only.	Activity Under scope of certification Under Scope of this audit Notes	
2.2.1 Stocking	Not Covered Not Covered	
2.2.2 Nursing	Not Covered Not Covered	
2.2.3 Farming Out	Covered Covered	
2.2.4 Transferring	Not Covered Not Covered	
2.2.5 Harvest	Covered Covered	
2.2.6 Vaccination	Not Covered Not Covered	
2.2.7 Feeding	Not Covered Not Covered	
2.2.8 Transportation	Covered Covered	
2.2.9 Storage (if present at farm)	Not Covered Not Covered	
2.2.10 Processing (if present at farm)	Not Covered Not Covered	
2.2.11 Bunking (if present at farm)	Not Covered Not Covered	
2.2.12 Other (Please describe)	Not Covered Not Covered	
2.3 Certification cycle	1	
2.4 Audit type	Initial audit	
2.5 Audit number in certification cycle	1	
2.6 Will harvesting be witnessed during audit?	Yes	
2.6.1 If harvest is NOT witnessed, please justify:	N/A	
2.7 Audit conducted (On-site/Remote):	On-site	

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spent on the activities by the environmental- and social auditor(s):

Time assigned to audit activities	6.8	6.9	6.10
Off-site activities	4	16	
On-site activities	16	40	
Total man days	2.5	7	

<b>Audit team and other involved persons</b>				
2.11	2.12	2.13	2.14	2.15
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on-site or remote?
Li	Dekun	Audit team leader	Yes	On-site
Li	Dekun	Social Auditor	Yes	On-site
Liu	Lionel	Technical Auditor	Yes	On-site

**3. Site information**

3.2	3.3	3.4	3.6	3.13	3.14	3.15	3.16	3.17	3.18
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S) (00.000000)*	Longitude (E, W) (00.000000)*	Production system*	Number of production units	Start date of audit	End date of audit
广东冠利达海洋生物有限公司 Guangdong Guanli Marine Biotechnology Co., Ltd	Owned	Whiteleg shrimp (Litopenaeus vannamei)	Short-cycle species (<6 months)	21.322202	111.221974	Ponds		52/25/10/2021	28/10/2021

**4. Stakeholder engagement**

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company/Organization if applicable	Contact person - First name	Contact person - Surname	Country where stakeholder is based	Email address of contact person/stakeholder	Stakeholder type	If stakeholder type "other" was selected what type?	Contact date stakeholder	Did the stakeholder submit comments?	Stakeholder comments relate to what ASC standard indicator number?
电白区渔农局 Dianbai District Fishery Bureau	li	li	CHINA	1013437224@qq.com	Government				
电白区农业局 Dianbai District Agriculture Bureau	li	li	CHINA	1140386497@qq.com	Government				
平潭村 Pingtan Village	Rongsheng	liang	CHINA	13560381356@163.com	Communities				
夏前村 Xiqian Village	Chaobo	lv	CHINA	59822628@qq.com	Communities				
陈前村 Chenqian Village	Yuequn	li	CHINA	894154@qq.com	Neighbour				
陈前村 Chenqian Village	Yuequn	li	CHINA	86561203@qq.com	Neighbour				
WWF China	Xin	Yu	CHINA	_xin@wwfchina.org	NGO - Environmental area				