

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of 1 Site(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1. General, Client/CA information

1.1 Document Type	Audit announcement
1.2 Document language	English
1.3 Second document language	Chinese
1.4 Unit of certification type	Single Site
1.4.1 Company name	Hainan Tropical Fisheries Co., Ltd.
1.4.2 QC Name	Lishikou Farm Quanzhou Reservoir/Raining Town Changui County/Hainan City
1.5 Country where the SC is located	China
1.6 ASC Standard	ASC 310
1.7 Standard version	1.2
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body	Intertek Testing Services Ltd.
Client contact person - from the SC	
1.15 First name	王雪婷
1.16 Surname	王雪婷
1.17 Position in the SC (Job title)	体系认证与品质部副经理/Quality manager of system certification and QC Department
1.18 Email address	wxs@fish.com
1.19 Phone number	18889931911
1.20 Other means of contact e.g. Skype	No

2. Audit information

2.1 ASC standard articles covered by the audit

ASC standard principle	Principle 1	Principle 2	Principle 3	Principle 4	Principle 5	Principle 6	Principle 7	Principle 8
2.1.1	Covered	Covered	Covered	Covered	Covered	Covered	Covered	Covered
2.1.2	Covered	Covered	Covered	Covered	Covered	Covered	Covered	Covered
2.1.3	Covered	Covered	Covered	Covered	Covered	Covered	Covered	Covered
2.1.4	Covered	Covered	Covered	Covered	Covered	Covered	Covered	Covered
2.1.5	Covered	Covered	Covered	Covered	Covered	Covered	Covered	Covered

2.2 Activities covered under the scope of the certification and under the scope of the audit.

Activity	Under scope of certification	Under scope of this audit	Notes
2.2.1 Breeding	Not Covered	Not Covered	
2.2.2 Rearing	Not Covered	Not Covered	
2.2.3 Growing Out	Covered	Covered	
2.2.4 Transferring	Not Covered	Not Covered	
2.2.5 Harvest	Covered	Covered	
2.2.6 Vaccination	Not Covered	Not Covered	
2.2.7 Pelleting	Not Covered	Not Covered	
2.2.8 Transportation	Not Covered	Not Covered	
2.2.9 Storage (if present at farm)	Not Covered	Not Covered	
2.2.10 Processing (if present at farm)	Not Covered	Not Covered	
2.2.11 Distribution (if present at farm)	Not Covered	Not Covered	
2.2.12 Other (if please describe)	Not Covered	Not Covered	

2.3 Certification cycle

2.3.1	2
2.3.2	Recertification audit
2.3.3	1
2.3.4	Yes
2.3.5	No
2.3.6	On-site

2.4 Audit type

2.5 Audit number in certification cycle

2.6 Will harvest be witnessed during audit?

2.7 Audit conducted On-site/Remote?

Please indicate the hours assigned to the different audit activities in the table below, separated by the " " (space) on the activities by the environmental and social auditor(s).

Activity	Environmental auditor(s)	Social auditor(s)	Environmental auditor(s)	Social auditor(s)
On-site activities	0	0	06	06
Off-site activities	0	0	0	0

Audit team and other involved persons

Name	First name	Last name	Role	Residence needed for the audit (required for international travel only)	Address (required for international travel only)
王雪婷	Yan	Xueting	Audit team leader	On-site	
王雪婷	Yan	Xueting	Social Auditor	On-site	

3. Site information

Site name	Site type	Primary culture species	Cycle duration	Latitude (N, S)	Longitude (E, W)	Production system	Number of production	Start date of audit	End date of audit
Lishikou Farm	Rear	Nile tilapia (Oreochromis niloticus)	18 months	19.880700	108.855200	Recirculation	2000	2021/07/29	2021/07/29

4. Stakeholder engagement

Name of Company/Organization if applicable	Contact person	Contact person - Surname	Contact person - Telephone No.	Contact person - Email address	Stakeholder type	Stakeholder type - "Other" see selected what type?	Contact date	Stakeholder comment related to what ASC standard indicator?
海南热带海洋科技股份有限公司 Hainan Tropical Seafoods	王雪婷	王	18889931911	wxs@fish.com	Other	NA	27/05/2021	No
海南之光水产科技有限公司 Hainan Zhiguang	王雪婷	王	18889931911	wxs@fish.com	Other	NA	27/05/2021	No
浙江中农水产科技有限公司 Zhongnong	王雪婷	王	18889931911	wxs@fish.com	Other	NA	27/05/2021	No
海南之光水产有限公司 (Company/Institution)	王雪婷	王	18889931911	wxs@fish.com	Other	NA	27/05/2021	No
海南省农业农村厅 Hainan Provincial Government	王雪婷	王	18889931911	wxs@fish.com	Authorities	NA	27/05/2021	No
中国水产科学研究院南海水产研究所 CASIP	王雪婷	王	18889931911	wxs@fish.com	Authorities	NA	27/05/2021	No
WWF China	王雪婷	王	18889931911	wxs@fish.com	NGO - Environmental	NA	27/05/2021	No