

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of 1 Site/D(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1. General, Client/CAB Information

1.1 Document Title	Audit announcement
1.2 Document language	English
1.3 Second document language	Chinese
1.4 Title of certification type	Standard Site
1.4.1 Company name	Huizhou Sky-Huayuan Food Co., Ltd. 安徽华源食品集团有限公司
1.4.2 IEC Name	Huizhou Huayuan Reservoir Tilapia Farm 安徽华源浮山生态渔业示范基地
1.5 Countries where IEC is located	China
1.6 ASC Standard	Tilapia
1.7 Standard version	1.0
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body	Intertek Testing Services Ltd.
1.10 First name	Yanjin 严金
1.16 Surname	YANG 严
1.17 Position in the top firm (first)	GM Huayuan 总经理
1.18 Email address	yanjin@huayuan.com
1.19 Phone number	86-568-3670968
1.20 Reference of contact e-mail	3

2. Audit Information

2.1 IEC standard articles covered by the audit	US Standard applicable
2.1.1 Principle 1	Covered
2.1.2 Principle 2	Covered
2.1.3 Principle 3	Covered
2.1.4 Principle 4	Covered
2.1.5 Principle 5	Covered
2.1.6 Principle 6	Covered
2.1.7 Principle 7	Covered
2.1.8 Principle 8	Covered

2.2 Activities covered under the scope of the certification and under the scope of the audit. Activities in the table apply to final product only.	Activity	Major scope of certification	Major scope of this audit	Notes
2.2.1	Stocking	Not Covered	Not Covered	
2.2.2	Feeding	Not Covered	Not Covered	
2.2.3	Feeding Net	Covered	Covered	
2.2.4	Transfering	Not Covered	Not Covered	
2.2.5	Harvest	Covered	Covered	
2.2.6	Harvesting	Not Covered	Not Covered	
2.2.7	Handling	Not Covered	Not Covered	
2.2.8	Transportation	Not Covered	Not Covered	
2.2.9	Storage (if present at farm)	Not Covered	Not Covered	
2.2.10	Processing (if present at farm)	Not Covered	Not Covered	
2.2.11	Marketing (if present at farm)	Not Covered	Not Covered	
2.2.12	Other (Please describe)	Not Covered	Not Covered	

2.3 Certification cycle	1
2.4 Audit type	Recertification audit
2.5 Audit number in certification cycle	1
2.6 Will harvesting be witnessed during audit?	Yes
2.6.1 If harvest is NOT witnessed, when?	N/A
2.7 Audit conducted (On-site/Remote)	On-site

Please indicate the hours spent in different audit activities in the table below, covered by the hours based on the activities by the environmental and social auditor(s).

2.8	Hours	Hours
Hours assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Pre-audit activities	4	10
On-site activities	0	0
Total man_days	4	10

2.9	Name	Role	Repeatable needed for the audit (required for recertification audit)	Phone number or e-mail
2.9.1	Yanjin 严金	Audit team leader	Yes	On-site
2.9.2	Yanjin 严金	Technical Auditor	Yes	On-site
2.9.3	Yanjin 严金	Social Auditor	Yes	On-site
2.9.4	Yanjin 严金	Technical Auditor	Yes	On-site

3. Site Information

3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11
Site name	Species	Production system	Site location	Production system	Production system	Production system	Production system	Production system	Production system	Production system
Huizhou Huayuan Reservoir Tilapia Farm 安徽华源浮山生态渔业示范基地	Tilapia	Reservoir	China	Reservoir	Reservoir	Reservoir	Reservoir	Reservoir	Reservoir	Reservoir

4. Stakeholder engagement

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company/Organization if applicable	Contact person - First name	Contact person - Surname	Country where stakeholder is based	Email address of contact person/stakeholder	Stakeholder type	If stakeholder type "Other" was selected what type?	Contact date	Did the stakeholder submit comment?	Stakeholder comment related to what ASC standard indicator category?
Confidential	Confidential	Confidential	China	9610086@huayuan.com	Other	Good Supplier			
Confidential	Confidential	Confidential	China	yanjin@huayuan.com	Author	下游客户			
Confidential	Confidential	Confidential	China	476146177@huayuan.com	Author	经销商			
Confidential	Confidential	Confidential	China	libailiang@huayuan.com	Other	下游客户			
Confidential	Confidential	Confidential	China	98813001@huayuan.com	Author	经销商			
Confidential	Confidential	Confidential	China	shangliang@huayuan.com	Author	经销商			