

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself. SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1. General client/CAB information

1.1 Document Type	Audit announcement
1.2 Document Language	English
1.3 Second document language	Vietnamese
1.4 Unit of certification type	Single Site
1.4.1 Company name	TH NEN FARM
1.4.2 Loc Name	TH NEN FARM
1.5 Country where IOC is located	Vietnam
1.6 ASC Standard	SRIMP
1.7 Standard version	1.1
1.8 Certification process is subject to CIR version	2.2
1.9 Name of the Conformity assessment body (CAB)	Intertek Testing Services Ltd.
Client contact person - from the IOC	
1.15 First name	CM
1.16 Surname	CHI NHA
1.17 Position in the IOC (Job title)	Quality Manager
1.18 Email address	caochinh28@gmail.com
1.19 Phone number	+842915.70666
1.20 Other ways of contact e.g. Skype	N/A

2. Audit information

2.1 ASC standard principles covered by the audit	ASC standard principles			
2.1.1 Principle 1	Covered			
2.1.2 Principle 2	Covered			
2.1.3 Principle 3	Covered			
2.1.4 Principle 4	Covered			
2.1.5 Principle 5	Covered			
2.1.6 Principle 6	Covered			
2.1.7 Principle 7	Covered			
2.1.8 Principle 8	Not Covered			
2.2 Activities covered under the scope of the certification and under the scope of the audit. Activities in the table apply to final product only.	Activity	Under scope of certification	Under Scope of this audit	Notes
2.2.1 Suckling	Covered	Covered	Covered	
2.2.2 Weaning	Not Covered	Not Covered	Not Covered	
2.2.3 Weaning Out	Covered	Covered	Covered	
2.2.4 Transporting	Not Covered	Not Covered	Not Covered	
2.2.5 Breeding	Covered	Covered	Covered	
2.2.6 Vaccination	Not Covered	Not Covered	Not Covered	
2.2.7 Feeding	Covered	Covered	Covered	
2.2.8 Transportation	Covered	Covered	Covered	
2.2.9 Rotating (if present at farm)	Not Covered	Not Covered	Not Covered	
2.2.10 Processing (if present at farm)	Not Covered	Not Covered	Not Covered	
2.2.11 Packing (if present at farm)	Not Covered	Not Covered	Not Covered	
2.2.12 Other (Please describe)	Not Covered	Not Covered	Not Covered	
2.3 Certification cycle	1			
2.4 Audit type	Initial audit			
2.5 Audit number in certification cycle	1			
2.6 Will harvesting be witnessed during audit?	Yes			
2.6.1 If harvest is not witnessed, please justify.	no			
2.7 Audit conducted (On-site/Remote):	On-site			

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spent on the activities by the environmental- and social auditor(s):

2.8	2.9	2.10
Hours assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities	4	1
On-site activities	20	26
Total non days	2	5

Audit team and other involved persons				
2.11	2.12	2.13	2.14	2.15
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on-site or remote?
VI CONG	LT	Audit team leader		On-site

3. Site information

3.2	3.3	3.4	3.6	3.13	3.14	3.15	3.16	3.17	3.18
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S) 00.000000	Longitude (E, W) 00.000000	Production system	Number of production units	Start date of audit	End date of audit
TH NEN FARM	Owned	Giant tiger prawn (Vannamee monodon)	Short-cycle species (<6 months)	9.185601	106.375522	Ponds - Land	56	2021/9/12/2021	2021/9/12/2021

4. Stakeholder engagement

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company/Organisation if applicable	Contact person - First name	Contact person - Surname	Country where stakeholder is based	Email address of contact person, stakeholder	Stakeholder type	If stakeholder type Public, are selected, what type?	Contact date stakeholder	Did the stakeholder submit comments?	Stakeholder comments relate to what ASC standard indicator number?
Deputy Chairman of Commune People's committee P. CT UBND xã	Bang Loan	Ngo	Vietnam	n/a	Authorities	N/A			
Deputy Chairman of Women's Union P. CT UBNS	Thi Kim Loan	Lam	Vietnam	n/a	Authorities	N/A			
Head of Farmer Association Hội trưởng hội nông dân	Quoc Khai	Le	Vietnam	n/a	Committees	N/A			
Chairman of Vietnamese Fatherland Front Committee CT UBMTTQ	Thi Di	Nguyen	Vietnam	n/a	Government	N/A			
Representative of Farming area Đại diện vùng nuôi	Van Di	Chau	Vietnam	n/a	Labour unions	N/A			
Some local community households Hội xã hộ dân lân cận	do not disclose	do not disclose	Vietnam	n/a	Committees	N/A			